ILLINOIS SWIMMING
RULES & REGULATIONS COMMITTEE
2017 FALL HOD
PROPOSED
AMENDMENTS TO RULES AND REGULATIONS

Considered together	No.	Description	Location	Recommendation
Rules Proposals				
	R-2	Relay Entry Forms Process is directed in meet packet	206.8.12D	Recommended
X	R-3	All swimmers entered in meet are subject to entry fees including "relay only" swimmers.	206.7.5	Recommended
	R-4	Aligns with proposed changes to 206.7.5	206.8.1	Recommended with amendment
X	R-5	Creation of Performance Committee (formerly known as Performance Task Force) as a standing committee	607.4.12	Recommended
	R-6	Defines members of the Performance Committee Members. Aligns with proposed changes to 607.4.12	607.3.12	Recommended with amendment
	R-7	Defines Duties & Powers of Performance Committee Chair Aligns with proposed changes to 607.4.12	607.2.2	Recommended
X	R-8	Correct incorrect reference, Clarity, Fairness and Simplicity of Scratching from Finals	Amendment to 206.10.10D and use of E Current 206.10.10E is renumbered to F	Not Recommended However, includes Housekeeping
	R-9	Clarity, Fairness and Simplicity of Scratch Procedure for LSC Championship Meets	206.10.10D (replacement)	Not Recommended
	R-10	Clarify and simplify Scratch Procedure for LSC Championship Meets	201.10.10C	Not Recommended However, includes Housekeeping
	R-11	Correct incorrect reference, 2) eliminate conflict with 206.10C and D	201.10.10	Not Recommended However, includes Housekeeping

Considered together	No.	Description	Location	Recommendation
X	R-12	Encourage and allow greater participation in Entries	206.8	Not Recommended
	R-13	Clarify and simplify entry procedures; align with current practices at next level meets	206.8.11	Not Recommended
	R-14	New Qualifying Times	206.8.12 New .12 and renumber previous 206.8.12 to .13	Not Recommended

Policy Proposals				
	P-1	Change in use of Athlete Endowment funds use from Illinois Swimming Club Support Program to Athlete Programming and increase to minimum fund balance.	3.6	Recommended with amendment

Housekeeping				
	HK-1	Correct reference to national rule (~~101.1.3F~~ 101.1.3E)	206.10.10D	
	HK-2	Include sentence from national rule 207.11.6 Scratch Procedures "The application of this penalty shall pertain to the order in which the event/heats are swum, not the numerical order of the events."	201.10.10C	
	HK-3	Correct reference to national rule (~~207.12.6~~ 207.11.6)	206.10.10	

No Action				
	R-1	Change in what constitutes a single club	603.1	No Action – contrary to national rules

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R-1 ACTION: Adopted	Defeated	Adopted/Amended	Tabled	Postponed	Pulled

Location: Page 39 – **603.1 CLUB MEMBERS**
Proposed by: John Gridley New Trier Member
Purpose: Team competition at the state level needs to be adjusted so that no single team can have so many different sites, and call themselves one "club." Definition of club violates The Sherman Anti-Trust Act of 1890.

603.1 CLUB MEMBERS - Every Club Member shall pay an annual fee, respectively, consisting of a national club fee established by USA Swimming and a local club fee established by ISI, together with any other charges, fees, etc. as may be established by ISI. A Single Club is defined as an organization that can utilize up to and including 5 pools. If a Club uses 6 pools then they are considered at least 2 separate Clubs. Likewise, if a Club uses more than 10 pools, they will be considered at least 3 different Clubs.

603.2 - .7 [*no* changes]

"Club" is defined p. 14 of the USA Swimming Rulebook as "an organization which has been accepted for membership in USA Swimming"
502.3.1C CLUB MEMBERSHIP A LSC shall not impose requirements for Club Membership in addition to those set forth in Article 502.

R-2 ACTION: Adopted	Defeated	Adopted/Amended	Tabled	Postponed	Pulled

Location: Page 23 - **206.6 ENTRIES**
Proposed by: Jack Yetter, ISI Official Chair and the ISI Officials Committee
Purpose: Aligns relay entry form process to National events and permits meet specific customization

206.8.1 - .11 [*no* changes]
206.8.12 A – C [*no* changes]

206.8.12 Relay Entries
D Each Coach shall pick up relay entry forms from the Clerk of Course on which he/she shall list the competing relay swimmers, their first and last names, ages, and order of swimming for each entered relay~~. Upon reporting to the starting blocks immediately prior to the start of the relay heat in which such team is entered, the completed relay form shall be handed to the head lane timer. No change will be permitted thereafter and failure to present the head timer with written list of relay swimmers shall prevent such team from competing. A runner shall be furnished to distribute copies of the listed relay swimmers to the announcer.~~ and turn in to the Administrative Referee in a manner as prescribed by the meet packet.

206.8.12 E [*no* changes]

R-3 ACTION: Adopted Defeated Adopted/Amended Tabled Postponed Pulled

Location: Page 21 – **206.7 ENTRY FORMS AND OFFICIAL MEET ANNOUNCEMENT**

Proposed by: Steve Mitchell, ISI General Chair

Purpose: Clarify that all swimmers entered in meet are subject to entry fees including "relay only"

206.7.1 - .4 [*no* changes]

.5 Entry fees shall be $8.00 per individual event and $16.00 per relay team. A swimmer surcharge of $2.00 shall be assessed for each individual swimmer entered in ~~individual events.~~ the meet including relay only swimmers.

206.7.6 - .8 [*no* changes]

R-4 ACTION: Adopted Defeated Adopted/Amended Tabled Postponed Pulled

Location: Page 22- **206.8 ENTRIES**

Proposed by: Steve Mitchell, ISI General Chair

Purpose: Aligns with proposed changes to 206.7.5

206.8 ENTRIES

.1 Entry forms properly filled out and received prior to the entry deadline will declare the swimmer, ~~and/or~~ relay team and/or relay only swimmers officially entered.

206.8 .2 - .12 [*no* changes unless separately addressed]

R-5 ACTION: Adopted Defeated Adopted/Amended Tabled Postponed Pulled

Location: Page 74 – **607.4 DUTIES AND POWERS OF STANDING COMMITTEES AND COORDINATORS**

Proposed by: Tony Young, ISI Sports Director

Purpose: Make the Performance Task Force a Permanent Committee with the support of the Senior, Age Group and Coaches Committees

607.4.1 - .11 [*no* changes]

607.4.12 PERFORMANCE COMMITTEE - is responsible for monitoring and assessing athlete, coach & club performance within the Illinois LSC and setting goals and strategies to improve performance. Responsibilities include collecting and analyzing historical athlete performance data for Illinois and identified common traits of successful organizations. The Committee will identify areas of focus for programming and establish incentives to encourage participation, performance growth and development throughout Illinois Swimming (or the Territory)

R-6 ACTION: Adopted Defeated Adopted/Amended Tabled Postponed Pulled

Location: Page 72 - **607.3 Members & Ex-Officio Members of Standing Committees**

Proposed by: Tony Young, ISI Sports Director with the support of the Senior, Age Group and Coaches Committees

Purpose: Defines members of the Performance Committee Members. Aligns with proposed changes to 607.4.12 (Supports R-5)

607.3 .1 - .11[*no* changes]

.12 The ISI Sport Director shall chair the Performance Committee which shall consist of the Senior Chair, Age Group Chair, Coach's Representative, Sport Director, two (2) Athlete Representative and six (6) at-large members appointed from the following groups (Officials, Coach volunteers). The meetings will be open to all Age Group and Senior Committee members and interested parties.

Except as otherwise provided in this Section 607.3, the General Chair or the respective division Vice Chair may appoint the specified additional members and any other members deemed appropriate or necessary for any of the foregoing standing committees, except the Athletes, Coaches and Personnel Committees. Committee members appointed pursuant to the preceding sentence, shall hold their appointments at the pleasure of the appointing officer or successor.

R-7 ACTION: Adopted Defeated Adopted/Amended Tabled Postponed Pulled

Location: Page 70 – **607.2.2 Duties & Powers of Non-Officers Chairs & Coordinators**

Proposed by: Tony Young, ISI Sports Director with the support of the Senior, Age Group and Coaches Committees

Purpose: Defines Duties & Powers of Performance Committee Chair. Aligns with proposed changes to 607.4.12

607.2.2 A - H [*no* changes]

602.2.2 I Performance Committee Chair - The Performance Committee Chair shall chair and have general charge of the business affairs and property of the Performance Committee, which shall undertake such activities delegated to it by the Board of Directors or General Chair

R-8 ACTION: Adopted Defeated Adopted/Amended Tabled Postponed Pulled

Location: Page 27 – **206.10 Administrative Conduct for ISI LSC Championships**

Proposed by: Michael Lawrence, Coach Lake Forest Swim Club

Purpose: Correct incorrect reference, Clarity, Fairness and Simplicity of Scratching from Finals

206.10.10 A -D [no changes unless otherwise noted]

~~D~~ E Scratching from Finals

(1) Any swimmer qualifying for a C, B or A (bonus and consolation final or) final race in an individual event who fails to compete in said final shall be either:

a. barred from further competition for the remainder of the meet, except as noted in paragraph E, or

b. pay to the host club a fine of $50. Fines shall be paid without apology or further consideration; the host club shall retain all fines paid under this rule.

A declared false start under USA Swimming Article ~~101.1.3F~~ 101.1.3E or deliberate delay of meet under USA Swimming Article 101.1.5 is not permitted and will be regarded as failure to compete.

(2) In the event of a withdrawal or barring of a swimmer from competition the Referee shall fill the C, B or A (bonus and consolation final or) final, when possible, with the next qualified swimmer(s). First and second alternates shall be announced along with the final qualifiers. These alternates shall not be penalized if unavailable to compete in the finals.

(3) Where C and B (bonus and consolation) finals have not been swum and a barring or withdrawal is known to the Referee, the Referee shall reseed the C, B and A (bonus final and consolation final and the) final, if necessary, to insert the alternate(s) in the appropriate lane(s), filling all lanes in the final.

(4) If the C and B (bonus and consolation) final have already been contested, the (championship) final shall be swum without reseeding for empty lane(s)

R-9 ACTION: Adopted Defeated Adopted/Amended Tabled Postponed Pulled

Location: Page 27 – **206.10 Administrative Conduct For ISI LSC Championships**

Proposed by: Michael Lawrence, Coach Lake Forest Swim Club

Purpose: Clarity, Fairness and Simplicity of Scratch Procedure for LSC Championship Meets

206.10.10 A - C [*no* changes]
206.10.10D (inserted ahead of current D)

Scratch Procedure for LSC Championship Meets –At the ISI Age Group and Sr Championships, in all events where preliminary heats are necessary, after the heats have been seeded, any swimmer who fails to compete in an individual event heat in which he/she is entered and from which he/she has not been scratched in accordance with subparagraphs A and B above shall ~~will~~ be either:

1) barred from their next individual event on that day, or

2) pay to the host club a fine of $25. Fines shall be paid without apology or further consideration; the host club shall retain all fines paid under this rule.

The application of this penalty shall pertain to the order in which the event/hears are swum, not the numerical order of the events. Additionally, that swimmer shall not be seeded in any individual events on succeeding days unless that swimmer declares an intent to swim prior to the close of the scratch box for that day's events.

206.10.10 ~~E~~ is renumbered to F

R-10 ACTION:	Adopted	Defeated	Adopted/Amended	Tabled	Postponed	Pulled

Location: Page 24 – **206.10 Administrative Conduct For ISI LSC Championships**

Proposed by: Michael Lawrence, Coach Lake Forest Swim Club

Purpose: Defines Duties & Powers of Performance Committee Chair. Aligns with proposed changes to 607.4.12

206.10.10 A - B [*no* changes]

206.10.10C Scratch Procedure for LSC Championship Meets – In all 10 & under events ~~or events where preliminary heats are necessary~~ and at the ISI Regional Championships, after the heats have been seeded, any swimmer who fails to compete in an individual event heat in which he/she is entered and from which he/she has not been scratched in accordance with subparagraphs A and B above will be barred from their next individual ~~and relay events~~ event of that day. The application of this penalty shall pertain to the order in which the event/heats are swum, not the numerical order of the events. ~~Additionally, that swimmer shall not be seeded in any individual events on succeeding days unless that swimmer declares an intent to swim prior to the close of the scratch box for what day's events.~~

206.10.10 D – E [*no* changes unless otherwise noted]

R-11 ACTION:	Adopted	Defeated	Adopted/Amended	Tabled	Postponed	Pulled

Location: Page 24 – **206.10 Administrative Conduct For ISI LSC Championships**

Proposed by: Michael Lawrence, Coach Lake Forest Swim Club

Purpose: Correct incorrect reference and eliminate conflict with 206.10C and D

206.10.10 Scratch Procedure for LSC Championship Meets – The USA Swimming Nationals Championship scratch rule (Article ~~207.12.6~~ 207.11.6) shall be in effect for all ISI Age Group and Senior Championship Meets except as further noted in ISI Rule 206.10.10C and D.

R-12 ACTION: Adopted Defeated Adopted/Amended Tabled Postponed Pulled

Location: Page 22 – 206.8 **Entries**

Proposed by: Michael Lawrence, Coach Lake Forest Swim Club

Purpose: Encourage and allow greater participation via Entries

206.8.1 - .2 [*no* changes]

206.8.3 - .5

.3 At ISI Championship Meets ~~the Senior Championships~~ a swimmer may enter and swim any number of individual events in which he/she has met the qualifying standard, subject to restrictions noted below:

A. ~~A swimmer may swim no more than 7 individual events during the meet.~~ At the ISI Age Group and Senior Championships, ~~N~~no swimmer shall be permitted to compete in more than three (3) individual events per day.

~~.4~~ B. At the ISI Age Group Championships ~~a swimmer may enter any number of individual events in which he/she has met the qualifying standard. All swimmers, regardless of age, shall be allowed the same number of individual events over the course of the Championship. A swimmer may swim no more than 6 individual events during a three (3) day meet and no more than seven (7) individual events during a four (4) day meet. No swimmer entered in the 11-12 or 13-14 age group shall be permitted to compete in more than three (3) individual events per day. No~~ no swimmer entered in the 10 & under age group shall be permitted to compete in more than four (4) individual events per day.

~~.5~~ C. At the ISI Regional Championships ~~a swimmer may enter any number of individual events in which he/she has met the qualifying standard. A swimmer may swim no more than 10 individual events during the meet. No~~ no swimmer shall be permitted to compete in more than four (4) individual events per day.

206.8.6 - .12 [*no* changes unless otherwise noted]

R-13 ACTION: Adopted Defeated Adopted/Amended Tabled Postponed Pulled

Location: Page 22 – **206.8 Entries**

Proposed by: Michael Lawrence, Coach Lake Forest Swim Club

Purpose: Clarify and simplify entry procedures; align with current practices at next level meets

206.8.1 -.10 [*no* changes no changes unless otherwise noted]

206.8.11 Any team for athlete missing the entry deadline is permitted to enter late, subject to the following restrictions: ~~Fees for entries submitted after the entry deadline shall be as follows:~~

A. Late entries must be submitted ~~will be accepted after the entry deadline, but~~ no later than 24 hours prior to the start of competition. ~~A. Three (3) times the established entry fee per individual event submitted.~~

B.The team or individual athlete must pay a one-time processing fee of $50 to the host club; ~~B Three (3) times the established entry fee per relay event submitted.~~

C. Entries fees for individual entries submitted after the entry deadline shall be $15 per entry; ~~C ISI Surcharge is applicable for all new swimmers submitted in an individual event.~~

D. Entry fees for relay events shall be $25 per relay entry;

E. ISI Surcharge is applicable for al new swimmers submitted in an individual event.

F. ~~D~~ All such fees shall be paid to the host club prior to the start of competition; the host club shall retain all late entry fees and penalties.

206.8.12 (see proposed changes infra)

R-14 ACTION: Adopted	Defeated	Adopted/Amended	Tabled	Postponed	Pulled

Location: Page 22 – **206.8 Entries**

Proposed by: Michael Lawrence, Coach Lake Forest Swim Club

Purpose: New Qualifying Times

206.8.1 -.11 [*no* changes no changes unless otherwise noted]

206.8.12 Any team or swimmer achieving one or more qualifying standard for the first time after the published entry deadline but not later than 48 hours prior to the start of competition is permitted to enter the meet subject to the following restrictions:

A. New qualifying times cannot be used to change the seed time of any previously submitted entry times;

B. New qualifying times cannot be used to enter a new relay as an Aggregate Entry Time (new Composite Relay Entry Times are permitted);

C. standard entry fees and surcharges apply;

D. all entry fees and surcharges shall be paid to the host club prior to the start of competition;

E. the host club shall retain all entry fees for New Qualifying Times.

Previous 206.8.12 is renumbered to 206.8.13

P - 1 ACTION: Adopted	Defeated	Adopted/Amended	Tabled	Postponed	Pulled

Location: Page 24 - **3.6 Minimal Funding for Athlete Endowment Fund**

Proposed by: Tony Young, ISI Sports Director with the support of the Senior, Age Group and Coaches Committees; Steve Mitchell ISI General Chair & Paul DiGiallonardo, ISI Treasurer

Purpose: Define minimum fund requirement & affirm the utilization of excess funds balance specifically for athlete programming. The BOD will have discretion/authority to release the funds

3.6 Minimal Funding for Athlete Endowment Fund

It is the desire & intent of the Illinois Swimming ~~Board of Directors~~ House of Delegates ("HOD") to recognize and define that use of the Athlete Endowment Fund ("AEF") is restricted specifically to support ISI Athlete Programming. ~~to allocate a portion of the accumulated earnings of the Athlete Endowment Fund to meet the funding needs of the programs it operates; on an annual basis, for the benefit of the registered athletes in its LSC while maintaining a minimal fund balance of $500,000~~ The AEF shall maintain a minimum fund balance of $575,000 and only the accumulated earnings of the AEF beyond this minimum balance (the "earnings") shall be available for use to support the financial needs of ISI Athlete Programs. The appropriate use of AEF earnings may be identified in the annual budget, subject to regular procedural approval by the HOD. In addition, if an opportunity for ~~new~~ Athlete Programming is proposed after approval of the annual budget, the ISI Board of Directors is authorized to review and approve, at their discretion, the use of the proposed AEF earnings for such a specific need. The vetting of such a proposed need must include a detailed description of the program, its definitive athlete benefit, and a plan for sustainability (if not a one-time event). Any approved use of the AEF by the BOD must be immediately communicated to the membership of ISI. ~~Therefore, the Budget Committee is directed to use a portion that they may decide at their discretion, of the accumulated earnings of the Athlete Endowment Fund above the funds minimal funding level to be applied to Illinois Swimming's Club Support program.~~

HK-1 ACTION: Adopted	Defeated	Adopted/Amended	Tabled	Postponed	Pulled

Location: Page 27 - **206.10 Administrative Conduct for ISI LSC Championships**

Proposed by: ISI Rules Committee

Purpose: Correct reference to national rule

206.10.10D Scratching from Finals

(1) Any swimmer qualifying for a C, B or A (bonus and consolation final or) final race in an individual event who fails to compete in said final shall be barred from further competition for the remainder of the meet, except as noted in paragraph E. A declared false start under USA Swimming Article ~~101.1.3F~~ 101.1.3E or deliberate delay of meet under USA Swimming Article 101.1.5 is not permitted and will be regarded as failure to compete.

(2) In the event of a withdrawal or barring of a swimmer from competition the Referee shall fill the C, B or A (bonus and consolation final or) final, when possible, with the next qualified swimmer(s). First and second alternates shall be announced along with the final qualifiers. These alternates shall not be penalized if unavailable to compete in the finals.

(3) Where C and B (bonus and consolation) finals have not been swum and a barring or withdrawal is known to the Referee, the Referee shall reseed the C, B and A (bonus final and consolation final and the) final, if necessary, to insert the alternate(s) in the appropriate lane(s), filling all lanes in the final.

(4) If the C and B (bonus and consolation) final have already been contested, the (championship) final shall be swum without reseeding for empty lane(s).

HK-2 ACTION: Adopted	Defeated	Adopted/Amended	Tabled	Postponed	Pulled

Location: Page 27 - **206.10 Administrative Conduct for ISI LSC Championships**

Proposed by: ISI Rules Committee

Purpose: Correct reference to national rule

201.10.10C In all 10 & under events or events where preliminary heats are necessary and at the ISI Regional Championships, after the heats have been seeded, any swimmer who fails to compete in an individual event heat in which he/she is entered and from which he/she has not been scratched in accordance with sub- paragraphs A and B above will be barred from their next individual and relay events of that day. The application of this penalty shall pertain to the order in which the event/heats are swum, not the numerical order of the events. Additionally, that swimmer shall not be seeded in any individual events on succeeding days unless that swimmer declares an intent to swim prior to the close of the scratch box for that day's events.

HK-3 ACTION:	Adopted	Defeated	Adopted/Amended	Tabled	Postponed	Pulled

Location: Page 24 - **3.6 Minimal Funding for Athlete Endowment Fund**

Proposed by: ISI Rules Committee

Purpose: Correct reference to national rule

206.10.10 Scratch Procedure for LSC Championship Meets – The USA Swimming Nationals Championship scratch rule (Article ~~207.12.6~~ 207.11.6) shall be in effect for all ISI Age Group and Senior Championship Meets